



Numerical evaluation of the combined capacity of suction caissons of subsea systems for deep water hydrocarbon exploitation

Evaluación numérica de la capacidad de carga combinada de pilotes de succión de sistemas submarinos para explotación de hidrocarburos en aguas profundas

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Abstract

Suction caissons are used to fix submarine systems of production into the seafloor for exploding hydrocarbons. The foundations of submarine systems are subjected to combined or multiaxial loading, which consists in applying combinations of horizontal and vertical loading (H,V) with driving moments (M). The main objective of this research work was to estimate numerically the combined capacity by means of failure envelopes of a suction pile installed in normally consolidated soil and subjected to combined loading applied at the head. The failure envelope represents the boundary of the combination of loading that the pile-soil can withstand. Finite element program ANSYS® 14.5 was used to model the caisson-soil system and evaluate the capacity of the caissons subjected to 17 combinations of HM failure loading and 22 linked values of VM maximum loading. Each numerical result permit to conform failure surfaces, which for the HM capacity exhibit rotated elliptical shapes with clear symmetry, while failure envelopes of the MV plane exhibit thong-shape failure and are clearly non-symmetrical. In this research, the pure compressional capacity was 72% higher than the pure extensional capacity. The mechanisms of failure of the caissons loaded at the head meet very well with the modes reported in the oil industry. Caissons subjected to horizontal loading in positive convention (applied from left to right direction) and clockwise moment exhibited “external-scoop” mechanisms, while caisson under positive lateral loading and counter-clockwise moments might experience translational or rotational failure mechanisms. Finally, this research work permit to quantify the impact of the variation of the mechanical properties of the soil on the caisson capacity as well as the type and size of the failure modes of the foundation.

Keywords: Suction caissons, subsea production systems, deep water.

Resumen

Los pilotes de succión son utilizados para fijar a los sistemas submarinos de producción en el lecho marino para explotar hidrocarburos costa afuera. Las cimentaciones de los sistemas submarinos están sujetos a carga combinada que consiste en aplicar combinaciones de carga lateral y vertical (H,V) así como momentos (M). El principal objetivo de este trabajo de investigación fue estimar numéricamente la capacidad de carga combinada por medio de superficies de falla de un pilote de succión instalado en suelo fino normalmente consolidado, sujeto a carga combinada aplicada en la cabeza. La superficie de falla representa la frontera de la máxima carga que puede soportar un pilote de succión. Se utilizó el programa de elemento finito ANSYS® 14.5 para modelar el sistema pilote-suelo y evaluar la capacidad de carga de los pilotes sujetos a 17 combinaciones de carga máxima HM y 22 combinaciones de sollicitaciones de falla MV. Cada resultado numérico contribuye a conformar las superficies de falla, en el plano HM se presentan superficies de falla simétricas con forma de elipses rotadas, mientras que las envolventes en el espacio MV exhiben formas tipo “lengua” no simétricas. En esta investigación, la capacidad a la compresión pura fue 72% mayor que la capacidad en extensión. Los mecanismos de falla de pilotes con carga aplicada en la cabeza coinciden con los reportados por la industria petrolera. Los pilotes bajo carga horizontal pura en convención positiva (dirección de izquierda a derecha) o únicamente bajo momentos en sentido de las manecillas del reloj pueden exhibir mecanismos de falla tipo “cuchara-externa”, mientras que pilotes sujetos a carga lateral positiva y simultáneamente momentos opuestos a las manecillas del reloj pueden exhibir modos de falla de traslación o rotación. Finalmente, esta investigación permite cuantificar el impacto de la variación de las propiedades mecánicas del suelo sobre la capacidad de carga combinada de pilotes de succión, así como el tipo y tamaño de los mecanismos de falla de este tipo de cimentación.

Descriptores: Pilotes de succión, sistemas submarinos de producción, aguas profundas.

INTRODUCTION

Oil and gas exploitation in deep water sites required the installation of offshore infrastructure that usually consists of floating production systems (floaters) and subsea systems. Subsea production systems are all the components that permit to explode hydrocarbons, which are installed on the sea floor. They are commonly composed by the all the equipment, flow lines and accessories that allow the hydrocarbon exploitation. The main subsea systems are: manifolds, pipeline end termination (PLET), pipeline end manifold (PLEM) and umbilical termination assembly (UTA). Figure 1 shows a typical subsea system deployment that includes a manifold.

Subsea systems required a foundation system in order to be anchored into the marine soil deposits and suction caissons represent a pragmatic option (Figure 2a). There are well-documented references that report the extensively use of suction caissons in floaters and subsea systems (Andersen *et al.*, 2005; Valle *et al.*, 2008; Silva *et al.*, 2013; Foresi & Bughi, 2015; Kay, 2015).

One of the main relative benefits for using suction caissons is the shorter timing required for their installation in comparison with other options such as driven piles. In addition, suction caissons are easier to be positioned in production areas with limited space since there are dense number of infrastructure. Finally, they could be used in a wide range of water depth.

Suction caissons are large diameter cylinders typically made with steel ranging from 3m to 20m with aspect ratios (L/D) varying from 0.5 to 6 (Kay, 2015). They are also denominated in the industry as suction piles or suction anchors; the portion of the name “suction” came from the installation process of this type of foundations, which consists in two steps:

- 1) Self weight.
- 2) Application of suction through pumping to complete the installation process.

Evaluations of the capacity of the foundation are required to ensure the stability of subsea systems, in the case of subsea systems, the foundations are subjected to combined, general or multiaxial loading, which consists in applying combinations of horizontal and vertical loading (H,V) with driving moments (M) as seen in Figure 2b. Loads on subsea foundations are generated by self-weight, thermal expansion of attached pipelines or other actions of the equipment connected (Gouvernec & Feng, 2014). Thus, the stability and safety of the subsea system depends directly on estimating the failure or yield surface for different loading and moment combinations (VHM).

The idea of obtaining failure envelopes or yield surfaces was adopted from early works by Roscoe & Schofield (1957), and the application to design offshore foundations was one of the main triggers for calculating different VHM capacity surfaces (Salgado *et al.*, 2008). The use of failure envelopes permits to evaluate the interaction of horizontal, vertical and moment load in an integrated manner.

This work addresses a study of the combined capacity of suction caissons by means of numerical modeling using finite element method. Numerical analyses were executed for short-term conditions of the soil (undrained scenario) and the caisson is assumed as “wished-in-place”; thus, no effects of installation process are considered.

BACKGROUND

FAILURE ENVELOPES FOR COMBINED CAPACITY OF SUCTION CAISSONS

Failure envelopes or yield surfaces represent the boundary of the combination of loading that the pile-soil can withstand. The failure envelopes for suction caissons under multiaxial loading (VHM) reported by Kay & Pa-

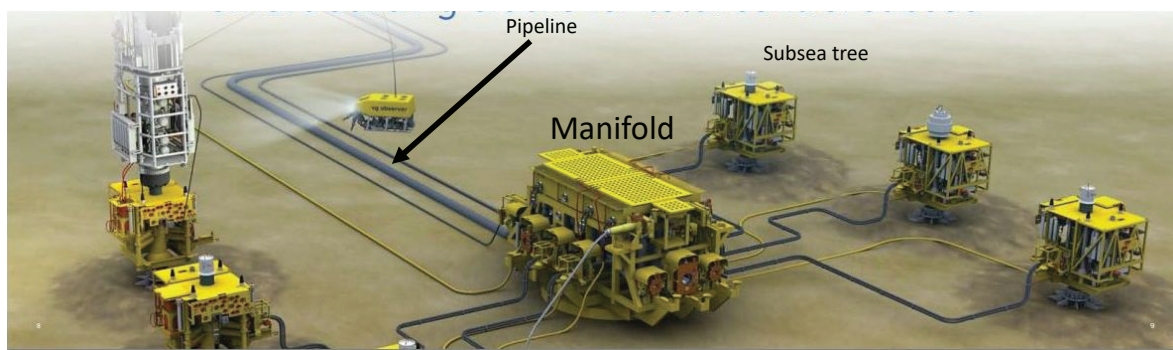


Figure 1. Deployment of subsea production systems used to exploit hydrocarbons in deep water sites

lix (2010) and Kay (2015) have exhibited elliptical shapes in the MH plane and a “thong-shape” failure envelope in the VHM space, as presented in Figures 3a and 3b, respectively. The envelopes were obtained through numerical modeling of suction caissons in offshore clay with aspect ratios (L/D) greater than 1.5 and general loading applied at the caisson head.

MECHANISMS OF FAILURE FOR SUCTION CAISSONS UNDER COMBINED LOADING

Former studies of suction caissons subjected to lateral loading applied at the caisson head have reported that the type of mechanism or mode of failure depends directly on the aspect ratio of the caisson. Caissons with short aspect ratios (L/D>1) develop active and passive wedges along the caisson shaft and circular shear planes inside de caisson, as seen in Figure 4a (Kennedy *et al.*, 2015); this type of failure is denoted as short-caisson mechanism.

Caissons with slightly larger aspect ratios, which are also subjected to horizontal load at the top fail with the flow-around mechanism (Figure 4b). This type of failure is similar to the short-caisson mode but the wedges do not extend to the base of the caisson.

Moreover, caissons with greater aspect ratio and lateral loading applied at the top exhibit clockwise rota-

tion of the whole caisson (if horizontal load is applied from right to left direction) and wedges along the upper zone of the caisson (Figure 4c). This type of mechanism is denoted as external-scoop, which usually has the following unknown parameters: the position of the center of rotation, the size of radius of the rotational zone of failure and the distance of the wedges between the mud line and the center of rotation.

On the other hand, Figure 5 shows the adjusted H*M* capacity envelope for combined loading and the corresponding failure modes reported by Palix *et al.* (2010). H* is the adjusted lateral capacity defined by

$$H^* = \frac{H}{D L S_{u,avg}}$$

$$M^* = \frac{M}{D L^2 S_{u,avg}}$$

while the adjusted rotational capacity is where D and L are diameter and length of the caisson and S_{u,avg} is the average undrained shear strength of the soil. The caisson model has a diameter of 5 m and length equal to 5 m (L/D=1) and is installed in a soil deposit with constant undrained shear strength profile of Su= 10 kPa.

As seen in Figure 5, the mechanisms of failure also depend on the directions of the loading and moment applied. Horizontal loading form left to right direction, vertical compression load and moments in clockwise direction are considered positive sign. This sign convention was also adopted in this research.

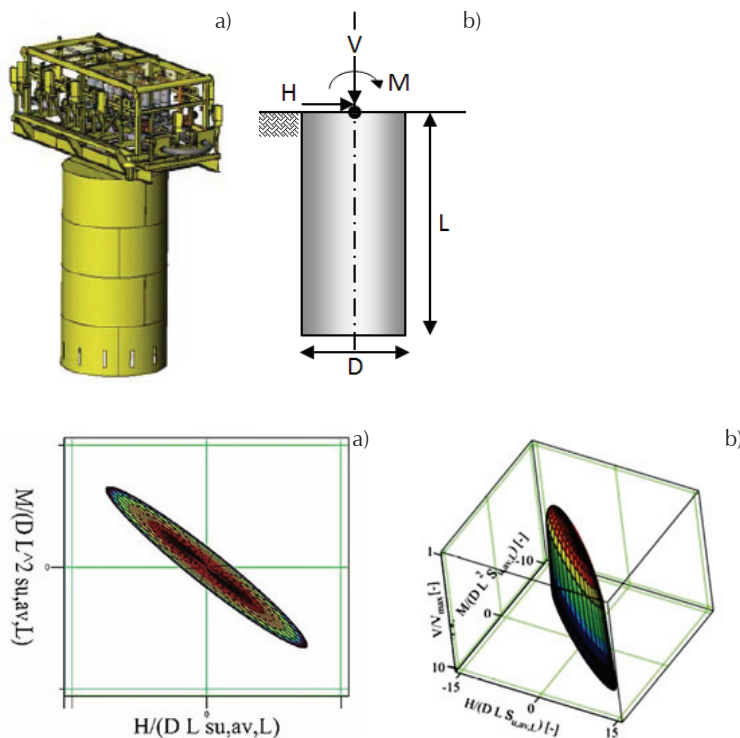


Figure 2. a) Manifold and suction caisson (Palix *et al.*, 2010), b) geometry of the suction caisson and loading applied at the head

Figure 3. Failure envelopes for suction caisson subjected to combined loading at the caisson head: a) envelope in the MH plane, b) VHM envelope for caissons with ratio L/D>1.5 (Kay, 2015)

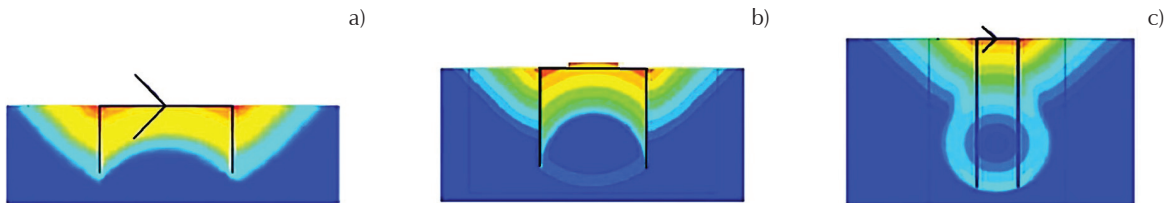


Figure 4. Mechanisms of failure of the suction caissons under lateral loading at the top: a) short-caisson mechanism ($L/D > 1$), b) flow-around mode and c) external-scoop mechanism (Kennedy *et al.*, 2015)

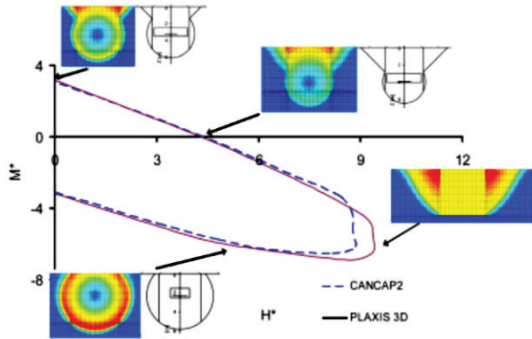


Figure 5. Failure modes of a suction caisson ($L/D=1$) under combined loading at the top (Palix *et al.*, 2010)

The results in the first quadrant (H^* and M^* positives) and fourth quadrant (H^* positive and M^* negative) are presented in Figure 5. In the first quadrant, the modes of failure under pure moment and pure horizontal loading exhibit the external-scoop mechanism.

Otherwise, the mechanism for combined loading in the fourth quadrant under horizontal load H^* nearby to 9 and M^* of about 6 exhibits a clear translational failure, while a rotational failure of the whole caisson is observed for the combination M^* of about 5 and H^* equal to 6.

AXIAL CAPACITY

Calculation of the axial vertical capacity (compressional or tensional) of a suction caisson may involve the use of alpha method (α) that considers the shaft and tip capacity components. The alpha method is also known as the API method and has been extensively used to design offshore driven piles. This method was conceived in terms of total stresses and was calibrated by Randolph & Murphy (1985). The portion of the shaft capacity or skin friction of the caissons is expressed as follows:

$$\tau_{sf} = \alpha S_u \quad (1)$$

where: τ_{sf} is the shaft capacity, α is the adherence or side shear factor and S_u is the undrained shear strength of the soil. The evaluation of α can be performed considering the correlations which are function of the ratio

undrained shear strength divided by the vertical effective stress $\left(\frac{S_u}{\sigma'_{vo}}\right)$ as follows:

$$\frac{S_u}{\sigma'_{vo}} \leq 1 \quad \alpha = \frac{1}{2} \left(\frac{S_u}{\sigma'_{vo}}\right)^{\frac{1}{2}} \quad (2)$$

$$\frac{S_u}{\sigma'_{vo}} \leq 1 \quad \alpha = \frac{1}{2} \left(\frac{S_u}{\sigma'_{vo}}\right)^{\frac{1}{4}} \quad (3)$$

For normally consolidated clays, α is usually near to 1.0, more precisely in the range from 0.8 to 1 (Randolph & Gouvernec, 2011). El-Sherbiny (1999) found from four tests in a 1-g physical scale model of suction caissons installed in kaolinite that an average α of 0.78 was mobilized at the failure load. Also, results of a 1-g physical model that mimic piles of fixed platforms installed in clayey soil of the Campeche Bay offshore Mexico indicate that alpha was equal to 0.83 (Rufiar *et al.*, 2011). In contrast, α ranges from 0.4 to 0.6 if soil exhibit high values of overconsolidation ratio (OCR) as reported by Randolph & Gouvernec (2011).

Regarding the vertical capacity in compression and extension at the tip, this portion of the axial capacity can be estimated as:

$$V_b = N_c S_u A_{tip} \quad (6)$$

where A_{tip} is the area of the caisson tip and N_c is the end bearing capacity factor, which has been reported typically equal to 9 in compression loading (Randolph & Gouvernec, 2011). The factor for vertical pullout has been suggested equal to 7 for suction caissons by Clukey & Morris (1993). However, other model tests have reported similar values of $N_c = 9$ no matter if the vertical failure occurs in compression or extension. Nevertheless, it is important to note that in the numerical model of this research only the shaft portion of the axial capacity is reproduced through the interface elements by means of the adherence factor.

GEOTECHNICAL CONDITIONS OF THE DEEP GULF OF MEXICO

Typical soils in deep water sites of the Gulf of Mexico consist in normally consolidated fine soils with linear variation of the undrained shear strength S_u with depth (Yun *et al.*, 2006; Randolph & Gouvernec, 2011). Kay & Palix (2010) defined three possible undrained shear profiles to cover the offshore conditions: a) constant value of S_u , b) linear variation of with depth S_u and c) stepped S_u profile. In this study, the constant profile were considered exclusively to verify the results of the model, while the results correspond to a caisson in soil with the linear variation of S_u as $1.25z$ (kPa) where z is the depth in meters. In other words, this work pursues to estimate and study the combined capacity of caisson in geotechnical conditions of the deep Gulf of Mexico.

NUMERICAL MODELS

The suction caissons models have a diameter of 5 m and length equal to 7.5 m ($L/D=1.5$) and 15 m ($L/D=3$). They are steel made with Young's modulus of 2.1×10^8 kPa and Poisson's ratio equals to 0.3. The thicknesses of the caisson walls are 3.5 cm and the cap equal to 5 cm. The model with bigger aspect ratio was used to compare and verify the results by comparing them with numerical data reported by Palix *et al.* (2010).

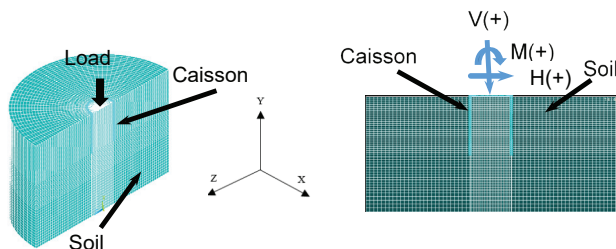


Figure 6. Finite element mesh of caisson-soil system under combined loading: a) half of the 3D cylindrical mesh and the model of the suction caisson, b) caisson-soil model and the combined forces and moment applied

The caisson-soil system was represented by a cylindrical mesh formed by concentric elements, which are divided in radial and angular units, as shown in Figure 6. Also, the three-dimensional mesh presented in Figure 6a could be split in two parts taking advantage of the symmetry of the model, in order to optimize the computation timing during the analyses.

The boundary conditions consider:

- 1) Fixed nodes located at the bottom of the model that restricts the displacements in vertical direction of the mesh.
- 2) The nodes along the perimeter of the cylindrical mesh (border side) are restricted to move in horizontal direction.
- 3) The top side of the mesh includes elements with nodes, which are free to move in all directions.
- 4) Nodes in the plane of symmetry are restrained from displacements in the normal direction.

The suction caisson and the soil deposit were modeled using ANSYS® SOLID45 eight-node brick and prism elements. Point-to-surface elements were considered for the external and internal soil-caisson interfaces along the caisson shaft, while surface-to-surface elements were used for representing the head and tip of the caisson. The adherence factor or side shear factor (α), used to evaluate shaft capacity portion of the axial capacity of piles, is considered in the interface elements along the wall of the caisson to reproduce the frictional shaft capacity. The Von Mises yield surface was used in the model with no dilation and undrained conditions. The undrained shear strength profile considered varies linearly with depth. The loading applied at the head of the caisson and the convention of the direction considered are shown in Figure 6b.

Results of the FEM were compared with similar results reported by Palix *et al.* (2010) using the program Plaxis 3D® for a caisson model with 5m diameter and 3m long ($L/D=3$), and considering a clayey soil profile with undrained shear strength of 10 kPa constant with depth and side shear factor $\alpha=0.65$. They consider also the ratio E/S_u of 500 and unit weight of the soil equal to 5 kN/m^3 . As seen in Figure 7, the horizontal component of capacity varies from 0 to about 8 MN, while the moment ranges from $-27 \text{ MN}\cdot\text{m}$ to $27 \text{ MN}\cdot\text{m}$. It can be seen that the envelop exhibits an ellipsoid shape, despite that only information of the first and fourth quadrant are shown (positive values of the horizontal capacity).

It is evident that the FEM results of ANSYS meet very well with Plaxis 3D results. The values obtained with the ANSYS model were always greater than the

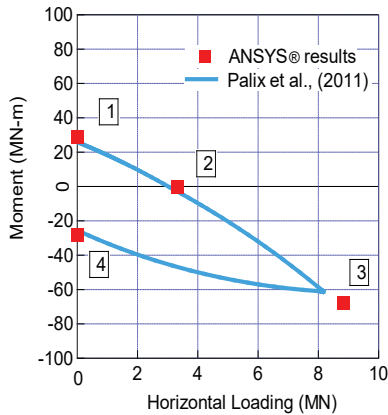


Figure 7. Comparisons of the results finite element results between this study and reported values (Gomez, 2014)

results reported by Palix *et al.* (2010). The differences between the results ranges from 5% to 14%.

RESULTS

In this section, the numerical results of the suction caisson with 5 m of diameter and 7.5 m of length ($L/D=1.5$) subjected to combined loading are presented. The model of the caisson considers the mechanical properties of steel with similar values of the parameters presented in Section 3. The soil deposit considered exhibits a linear variation of the undrained shear strength S_u given by a value of 0 kPa at the mud line and a gradient of 1.25 (kPa/m) was employed. The ratio E/S_u of 500, unit weight of the soil equal to 5 kN/m³ and Poisson's ratio of the soil equal to 0.49. The first part of the results covers the curves of force and moment varying with displacement and angle of rotation, respectively; and the mechanisms of failure of the caisson. The second part includes the failure envelopes obtained in this study.

COMBINED CAISSON CAPACITY

Evaluations of the capacity of the suction caissons, under combined loading applied at the top were obtained through the results of the FE analyses by means of curves of loading varying with displacements and moment changing with rotation angles. The failure criteria for the caisson-soil system subjected to lateral and vertical loading was defined when the displacement reached 10 percent of the caisson diameter. For the rotational capacity, the moment of failure was selected as the curve exhibits asymptotical tendency.

Figures 8a and 8b depict the load-displacement curves for horizontal and axial loading, respectively. In addition, Figure 8c shows the driving moment varying with the angle of rotation in degrees. The curves present also the variations of the capacity with the adhesion factor (α) ranging from 0.5 to 1. It is evident that the curves and capacity increase as augments α and the curves exhibit clear asymptotic tendency for lateral loading and pure driving moment. The moment of failure was well identified beyond about an angle of 1°, which is consistent with the results presented by Chen *et al.* (2015).

Figure 9 shows the mechanism of failure for pure horizontal loading and the different regions of failure that surround the caisson, which corresponds clearly to the external scoop type. The components of the external scoop mode are identified in Figure 9a. In addition, Figures 9b and c show the external scoop mechanisms obtained for shear factor (α) of 0.5 and 1, respectively.

The dimensions of the different components of the failure regions varying with the adhesion factor are presented in Table 1. As seen, each soil wedge extend horizontally at the sea floor up to one diameter (D) and reach a depth of about also one diameter (D). The center of the radius of the rotation failure at the caisson tip

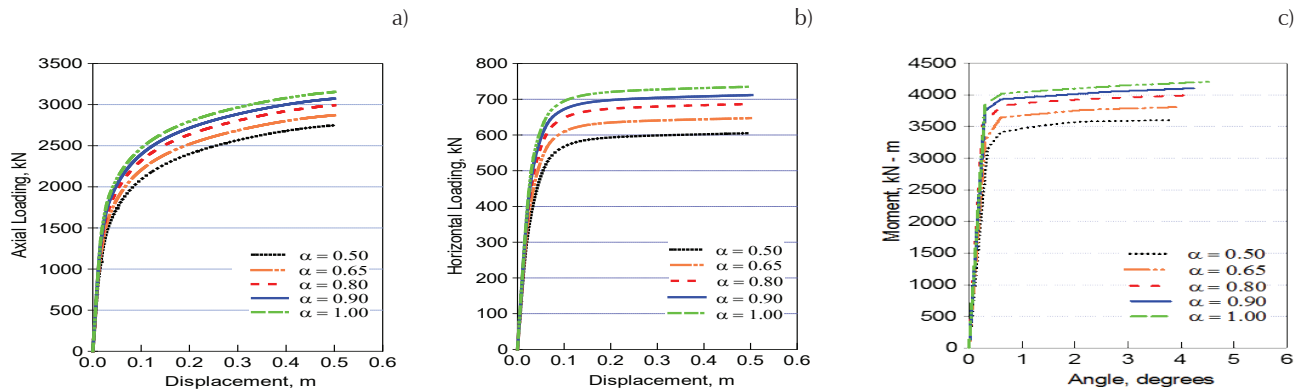


Figure 8. Variation of loading with displacements and driving moment with angle of rotation: a) axial loading, b) horizontal loading and c) driving moment

is located in the caisson at a depth of about 1.2 D. The radius of rotation of the caisson-soil system is about half of the diameter.

In addition, Figure 10 depicts the mode of failure of the caisson-soil system under pure positive moment (applied in clockwise direction). The failure mechanism identified corresponds also to the external-scoop type. Sizes of the different components of the failure zone varying with the adhesion factor are presented in Table 2. In this case, soil wedges extend horizontally up to 0.8 D along the sea floor level and reach a depth of about 0.8 D. The center of the radius of the rotation failure at the caisson tip is located in the caisson at a depth of about 1.2 D. The radius of rotation of the caisson-soil system is about half of the diameter (0.5 D).

Moreover, Figure 11a shows the mode of failure of the caisson-soil system under the combination of negative moment $M = -10206$ kN-m (moment in counterclockwise direction) and lateral force $H = 2041$ kN, which gives a ratio $M/H = 5$. The mechanism of failure correspond to a translational mode slightly rotated with clockwise orientation. In contrast, the clear counterclockwise rotational manner of failing of the caisson-soil system under the simultaneous application of a negative moment $M = -10179$ kN-m and $H = 1272$ kN ($M/H = 8$) is observed in Figure 11b. The failure mechanisms found in this work of combined loading with negative moments

and positive lateral loads are in good agreement with failure modes reported by Palix *et al.* (2010).

ENVELOPES OF FAILURE

The envelopes of failure in the HM and MV planes were obtained numerically and presented in Figures 12 and 13. The rotated elliptical envelopes in the HM plane are shown in Figure 12 for two different side shear factors. The envelope associated with $\alpha = 1$ encloses the surface of $\alpha = 0.65$ and both are symmetrical. The surrounding envelope (for $\alpha = 1$) varies in lateral capacity from -2239 to 2239 kN and exhibit rotational capacity ranging from 12230 to -12230 kN-m. The discrepancies between the yield surfaces for $\alpha = 1$ and $\alpha = 0.65$ ranges from 5% to 15%. It is important to note that the differences observed in the failure mechanisms associated with the direction of the applied driving moment (Figure 11) do not have any impact on the symmetry of the yield surfaces.

In addition, Figure 13 shows the thong-shape failure envelopes in the MV plane for two different side shear factors. Once again, the yield surface associated with $\alpha = 1$ surrounds the envelope of $\alpha = 0.65$. In this case, symmetry appears only with respect to the driving moment axis and vertical capacities are clearly not symmetrical for compressional and extensional loading. The

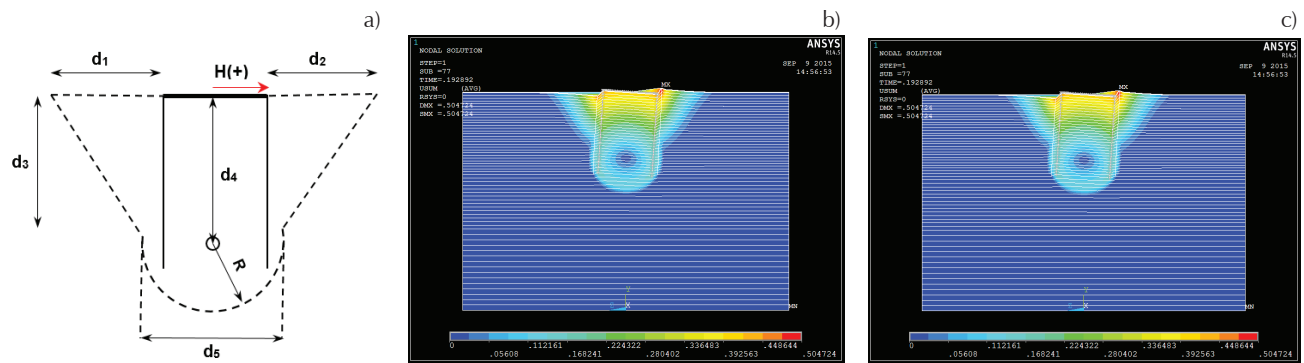


Figure 9. Mechanism of failure of the suction caissons under horizontal loading at the top: a) parts of the mechanism, b) external-scoop mechanism for soil with $\alpha = 0.5$, c) external-scoop mechanism for soil with $\alpha = 1$

Table 1. Dimensions of the components of the mechanism of failure of the caisson-soil system under lateral loading

α	R	d_1	d_2	d_3	d_4	d_5
Adimensional	m	m	m	m	m	m
0.50	0.585D	1.025D	1.025D	0.98D	1.200D	1.325D
0.65	0.585D	1.025D	1.025D	0.98D	1.200D	1.350D
0.80	0.585D	1.05D	1.025D	0.937D	1.195D	1.375D
0.90	0.633D	1.05D	1.025D	0.937D	1.195D	1.375D
1.00	0.633D	1.05D	1.025D	0.937D	1.195D	1.375D

Note: D is the diameter of the caisson

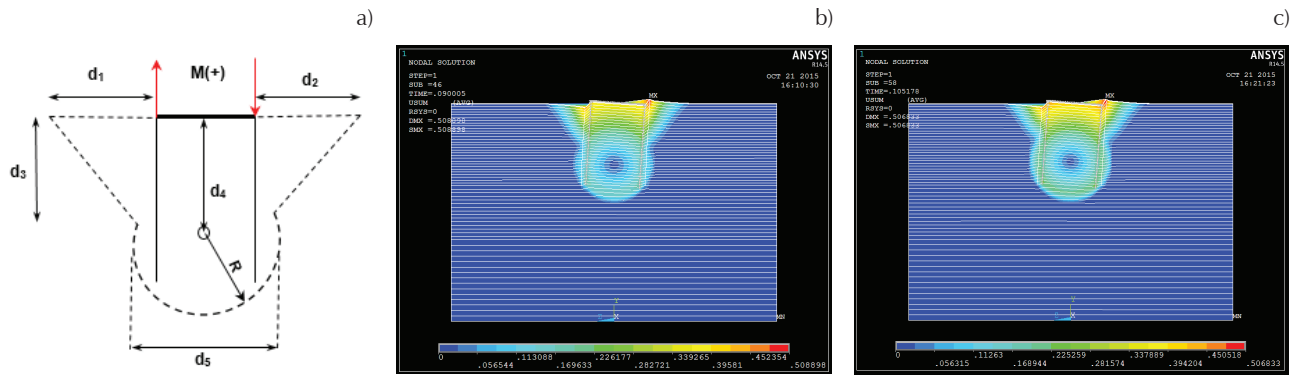


Figure 10. Mechanism of failure of the suction caissons under pure clockwise driving moment at the caisson head: a) parts of the mechanism, b) external-scoop mechanism for soil with $\alpha = 0.5$, c) external-scoop mechanism for soil with $\alpha = 1$

Table 2. Dimensions of the components of the mechanism of failure of the caisson-soil system under pure positive moment

α	R	d_1	d_2	d_3	d_4	d_5
Adimensional	m	m	m	m	m	m
0.50	0.73D	0.80D	0.78D	0.80D	1.10D	1.52D
0.65	0.73D	0.80D	0.78D	0.80D	1.08D	1.50D
0.80	0.76D	0.80D	0.77D	0.75D	1.08D	1.55D
0.90	0.76D	0.80D	0.77D	0.73D	1.08D	1.55D
1.00	0.77D	0.79D	0.77D	0.70D	1.07D	1.55D

Note: D is the diameter of the caisson

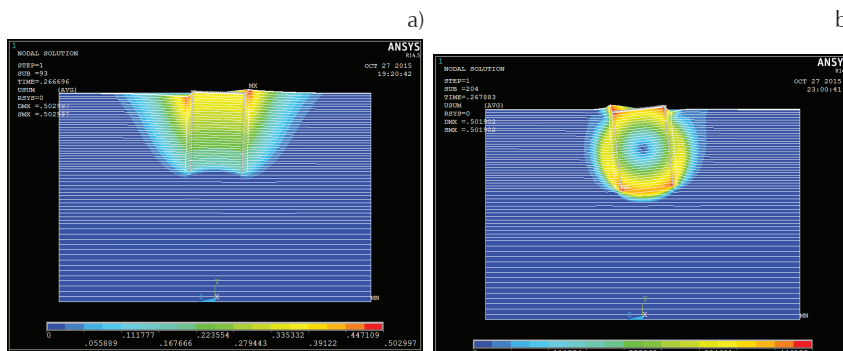


Figure 11. Mechanism of failure of the suction caissons applying combined loading moment at the top: a) parts of the mechanism, b) external-scoop mechanism for soil with $\alpha = 1$

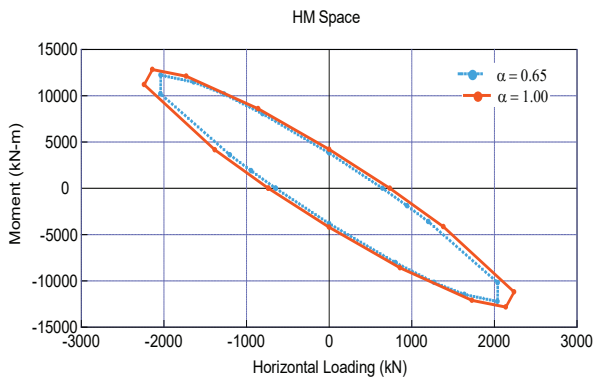


Figure 12. Envelopes of failure in MH plane for soil with $\alpha = 1$ and $\alpha = 0.65$

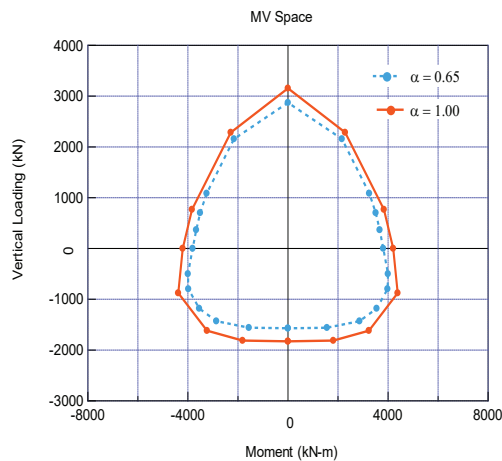


Figure 13. Envelopes of failure in MV plane for soil with $\alpha = 1$ and $\alpha = 0.65$

envelope for $\alpha = 1$ varies in vertical capacity from 3155 to -1828 kN, while rotational capacity exhibits symmetry in the range from 4387 to -4387 kN-m. Differences between the yield surfaces for $\alpha = 1$ and $\alpha = 0.65$ ranges from 10% to 16%. Pure compression capacity is 72% higher than pure pull-out capacity.

It is important to point out that the maximum values of vertical capacity in compression and extension correspond to the condition of zero moment applied. In contrast, the maximum positive and negative rotational capacities (4387 and -4387 kN-m) correspond to the extensional vertical capacity of -877 kN.

Finally, the complex interaction of the vertical, horizontal and moment loads acting simultaneously on the suction caisson is presented in Figure 14. It can be seen the three-dimensional view of the coupled HM and MV failure envelopes for $\alpha = 1$.

CONCLUSIONS

Application of suction caissons is widely used to anchor floating and submarine systems to exploit hydrocarbons at deep water sites. Suction caissons used for fixing subsea systems to the sea floor are subjected to combinations of lateral and vertical loading (H and V, respectively) and moments (M).

The combined capacity of the caisson was evaluated using numerical modeling to obtain the coupled failure envelope for VHM loading. Finite element models of suction caissons with 5 m of diameter and aspect ratios of 1.5 and 3 were developed using the program ANSYS® 14.5.

Capacity of the caisson was evaluated by means of the load-displacement curves and the failure criterion

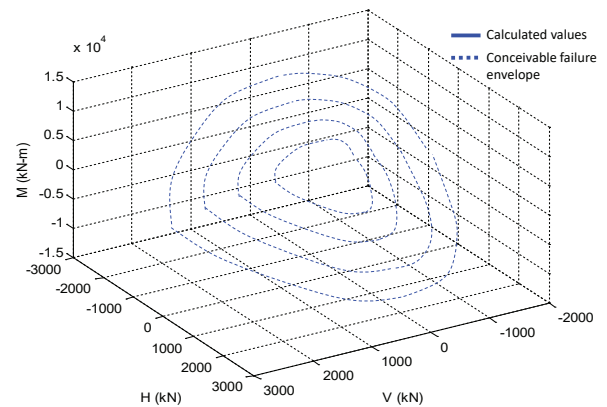


Figure 14. Envelopes of failure for a suction caisson of $D=5$ m and $L=7.5$ under general loading installed in normally consolidated clay with a shear factor $\alpha = 1$

for lateral and axial loading was defined when displacements reach 10% of the diameter size. In the case of rotational capacity, the moment of failure was identified as the curve exhibits asymptotical behavior (beyond about 1° of rotation angle). The HM capacity results of model with aspect ratio 1.5 met successfully with similar results reported by Palix *et al.* (2010).

The mechanisms of failure of the suction caissons loaded at the head depend on the aspect ratio and loading orientation. Caissons subjected to horizontal and moment loads in positive convention might exhibit external-scoop mechanisms, while caisson under positive lateral loading and negative moments might exhibit translational or rotational failure modes.

Failure envelopes in HM space have elliptical rotated shapes and envelopes corresponding to adherence factor $\alpha = 1$ were always the biggest; discrepancies between the yield surfaces for $\alpha = 1$ and $\alpha = 0.65$ ranges from 10% to 16%. Otherwise, yield surfaces of MV plane exhibit thong-shape failure and are clearly non-symmetrical, being the compression capacity 72% higher than the extension capacity.

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